



Use this form to transfer proceeds of a nonretirement certificate of deposit (CD), mutual fund, or other investment to a Lord Abbett mutual fund account.

**New Lord Abbett Account:** Use this form, together with the **Mutual Fund Account Application**, to establish a new Lord Abbett mutual fund account as a result of a transfer.

**Existing Lord Abbett Account:** Use this form to transfer your account into an existing Lord Abbett mutual fund account.

- Please forward a copy of a current account statement for the account you wish to transfer to Lord Abbett.
- Retain a photocopy of this form for your records.

**Important information about procedures for opening a new account required by the U.S.A. PATRIOT ACT:** To help the government fight the funding of terrorism and money-laundering activities, federal law requires all financial institutions to obtain, verify, and record information that identifies each person who opens an account.

**TRANSFER AN ACCOUNT**

**When you open an account, we will require:**

- Name
- Social Security number or tax identification number
- Date of birth
- Address

We may ask for other information that will allow us to identify you. We may also ask for copies of documents. If we are unable to obtain your required information, we will return your application. Your moneys will not be invested until we have obtained all required information. Please be sure to review and sign this application under **Step 6**.

**Mail completed application to:** Lord Abbett Service Center, PO Box 219336, Kansas City, MO 64121-9336

**Overnight Mail:** Lord Abbett Distributor LLC, 330 West 9th Street, Kansas City, MO 64105-1514

**Call Lord Abbett for assistance:** 888-522-2388

**STEP 1: OWNER ACCOUNT INFORMATION**

_____	_____	____/____/____	_____
Owner Name (First Name, MI, Last Name)	Social Security Number	Date of Birth (MM/DD/YYYY)	Daytime Phone Number
_____	_____	____/____/____	_____
Joint Owner Name (First Name, MI, Last Name)	Social Security Number	Date of Birth (MM/DD/YYYY)	Daytime Phone Number

**STEP 2: INVESTMENT INSTRUCTIONS**

Please choose investment option A or B.

**A.**  Invest proceeds in a new Lord Abbett account.  
Please note that a Mutual Fund Account application is required if proceeds are to be invested in a new Lord Abbett account.

**B.**  Invest proceeds in my existing\* Lord Abbett account(s) according to the following:

Lord Abbett Fund Name(s)	Lord Abbett Account Number(s)	Percentage (Total must equal 100%)
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

\*Please consult your tax or financial advisor regarding transfers between different types of accounts.

**STEP 3: ACCOUNT YOU ARE TRANSFERRING**

Financial institution currently holding your account:

\_\_\_\_\_

Name of Financial Institution (please see Special Note in Step 5)

_____	_____	_____	_____
Address of Financial Institution (required)	City	State	Zip Code
_____	_____	_____	_____
Contact Name	Contact's Phone Number (required)	Account Number (required)	

**STEP 4: TRANSFER INSTRUCTIONS**

Instructions to financial institution currently holding your account:

Type of account being transferred:

- CD       Mutual Fund       Other \_\_\_\_\_

Transfer instructions:

**Transfer Non-Lord Abbett Funds** (these funds must be liquidated):

Please liquidate the account listed in Step 3 and issue a check payable to: Lord Abbett Funds.

- Amount to liquidate:     All                       Partial liquidation of \$ \_\_\_\_\_
- When to liquidate:       Immediately       At maturity\* \_\_\_\_\_ / \_\_\_\_\_ / \_\_\_\_\_

Maturity Date  
(MM/DD/YYYY)

**\*Important:** Transfer instructions of CD proceeds must be received at least two weeks prior to maturity but no more than 60 days until maturity. If you liquidate a CD prior to maturity, you may incur a penalty.

**Transfer Lord Abbett Funds:**

Please transfer the account listed in Step 3.

Transfer my shares "in-kind" to the following Lord Abbett funds:

**Fund Name**

**All Shares**

_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____ )
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____ )
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____ )
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____ )
_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No (If No, # of shares _____ )

**Special Note:** For all transfers, you must contact your current financial institution to ensure that all requirements are met.

**STEP 5: SIGNATURE AND AGREEMENT**

All owners of the account being transferred must sign below.

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Authorized Owner Signature (legal capacity, if needed; for example, trustee, executor)      Date

\_\_\_\_\_/\_\_\_\_\_/\_\_\_\_\_  
Authorized Joint Account Owner Signature (if applicable)      Date

**STEP 6: MEDALLION SIGNATURE GUARANTEE (or Signature Guarantee) (if required)**

A Medallion Signature Guarantee may be required by your current financial institution to complete this transfer. To obtain a Medallion Signature Guarantee, sign this form in the presence of an authorized person at a broker/dealer firm or at another financial institution, such as a bank or trust company. A notarization from a notary public does not meet Medallion Signature Guarantee requirements.

Medallion Signature Guarantees must cover the amount of the requested transaction. There are several different guarantee amounts, so it is important to acquire a guarantee amount equal to or greater than the amount of the transaction(s).

**Please place Medallion Signature Guarantee here.**