

# IRA Certification of Rollover Assets Form



LORD ABBETT®

## RESTRICTION ON INDIRECT (60-DAY) ROLLOVERS

An IRA participant is allowed only one rollover from one IRA to another (or the same IRA) across all IRAs (Traditional, Rollover, Roth, SEP, SARSEP and SIMPLE) in aggregate that a taxpayer owns in any 12-month or 365-day period. As an alternative, a participant can make an unlimited number of trustee-to-trustee transfers where the proceeds are delivered.

### FOR TRADITIONAL IRA, ROTH IRA, AND SEP IRA ROLLOVERS SEND THIS COMPLETED FORM WITH A CHECK PAYABLE TO "LORD ABBETT FUNDS" TO:

**Mail completed form to:** Lord Abbett Funds Service Center, P.O. Box 534489, Pittsburgh, PA 15253-4489

**Overnight mail:** Lord Abbett Funds Service Center, Attention: 534489, 500 Ross Street, 154-0520, Pittsburgh, PA 15262

**Call Lord Abbett for assistance:** 888-522-2388 (Monday – Friday between 8:00 a.m. and 5:30 p.m. ET)

## STEP 1: ACCOUNT OWNER INFORMATION

Owner Name (First Name, MI, Last Name)		Mobile Number	Alternate Phone Number	
Date of Birth	Street Address	City	State	Zip Code
Account Number		Social Security Number		

## STEP 2: TRADITIONAL ROLLOVER

☐ **Traditional IRA 60-day Rollover** – I certify that this rollover is a distribution of all or part of my account balance from another IRA which I received within the prior 60 calendar days. I certify that 365 days have passed since I last received a distribution from this or any other IRA that I rolled over into this or another IRA.)

☐ **Traditional IRA 3-Year Rollover** – I certify that this rollover is a distribution from another IRA, and that this distribution is being rolled over within 3 calendar years following the date that I received (indicate one below):

- ☐ Qualified birth or adoption distribution(s) of up to \$5,000 in compliance with Section 72(t)(2)(H) of the Internal Revenue Code.
- ☐ Emergency Personal Expense Distribution(s) of up to \$1000 per calendar year in compliance with Section 72(t)(2) of the Internal Revenue Code.
- ☐ A Distribution as a domestic abuse victim of up to \$10,000 in compliance with Section 72(t)(2) of the Internal Revenue code.

☐ **IRA Eligible Rollover Distribution** – I certify that this rollover is a non-periodic distribution from my employer's qualified retirement plan of all or part of my account balance, other than the portion of any distribution which is nontaxable, and that this distribution is being rolled over within 60 calendar days of the date that I received the distribution. (Your employer's plan administrator should be able to tell you what portion of your distribution is an "eligible distribution".) I certify that no portion of this rollover is from any portion of a Designated Roth Contribution Account under my employer's qualified retirement plan or from any amount required to be distributed under Internal Revenue Code Sections 408(a)(6) and 401(a)(9), commonly known as a required minimum distribution.

## STEP 3: ROTH ROLLOVER

☐ **Roth IRA 60-day Rollover** – I certify that this rollover is a distribution of all or part of my account balance from another Roth IRA, and that this distribution is being rolled over within 60 calendar days of the date that I received the distribution. I certify that 365 days have passed since I last received a distribution from this or any other IRA that I rolled over into this or another IRA.

☐ **Roth IRA 3-Year Rollover** – I certify that this rollover is a distribution from another Roth IRA, and that this distribution is being rolled over within 3 calendar years following the date that I received (indicate one below):

- ☐ Qualified birth or adoption distribution(s) of up to \$5,000 in compliance with Section 72(t)(2)(H) of the Internal Revenue Code.
- ☐ Emergency Personal Expense Distribution(s) of up to \$1000 per calendar year in compliance with Section 72(t)(2) of the Internal Revenue Code.
- ☐ A Distribution as a domestic abuse victim of up to \$10,000 in compliance with Section 72(t)(2) of the Internal Revenue code.

☐ **Qualified Rollover Contribution (conversion) into a Roth IRA from a 401(k), 403(b), 457 Plan or other Qualified Plan** – I certify that this rollover is a distribution from my employer's retirement plan paid as a direct rollover contribution (conversion) into a Roth IRA.

☐ **Designated Roth Contribution Account** – I certify that this rollover is a ☐ direct rollover or a ☐ 60-day rollover from my Designated Roth Contribution Account under my employer's qualified retirement plan.

☐ **Military Death Gratuity Payment** – I certify that this rollover contribution is less than \$100,000 and is being made within 365 days of the date that I received the distribution.

☐ **Servicemember's Group Life Insurance (SGLI)** – I certify that this rollover contribution is less than \$400,000 and is being made within 365 days of the date that I received the distribution..

### STEP 3: OWNER'S SIGNATURE AND CERTIFICATION

I certify that the contribution described above is an eligible IRA rollover contribution. I certify that this contribution is being rolled over within 60 calendar days of the date that I received the distribution, or is being rolled directly from my employer's plan or current custodian, and meets the tax rollover requirements described above. I certify that the rollover is not part of a series of payments over my life expectancy, or over a period of 10 years or more. I certify that the rollover does not include any required minimum distribution, hardship distribution, corrective distribution, or deemed distribution from the employer's qualified retirement plan. I understand that this rollover contribution is irrevocable and involves important tax considerations. Specifically, I understand that a rollover contribution from a pre-tax qualified retirement plan will no longer be eligible for the special averaging, capital gains and separate tax treatment that may be available under my employer's plan. I agree that I am solely responsible for all tax consequences. I also agree that neither the Custodian nor Lord Abbett shall have responsibility for any such tax consequences or any consequences resulting from this amount being ineligible for rollover. (Rules regarding rollovers, and their tax implications, are complex. Please refer to IRS Publication 590-A or a professional tax advisor for more information.)

I have read this form and understand and agree to be legally bound by the terms of this form. I also understand that the Custodian will rely on my instructions within this form when accepting my rollover contribution.

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Owner's Signature

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Date