



LORD ABBETT®



Lord Abbett Global Funds I PLC (the “Company”) Application Form and Payment Instructions

This Application Form should be read in context of and together with the Prospectus of the Company, currently into force and as may be amended from time to time (the “Prospectus”). To the extent not otherwise defined in this Application Form, all capitalised terms shall have the same meaning as in the Prospectus. Please complete all of the following sections.

Lord Abbett Global Funds I plc

c/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited
30 Herbert Street Dublin D02 W329
Ireland

Telephone: + 353 1 241 7156

Facsimile: + 353 1 241 7157

Email: BBHGlobalTA@bbh.com



1. Applicant Information

All communications will be delivered to the address indicated below and the Applicant undertakes to keep the Company informed of any changes to the information below. If the Applicant wishes to provide a second address for mailing purposes, the Applicant should submit such request in writing to the Administrator.

Details of Applicants(s)	For Private / Individual Investors
Investor Name(s) in Full	
Permanent Residential Address <i>(please state for each individual)</i>	
Mailing Address <i>(if different from above)</i>	
Place of Birth <i>(please state for each individual)</i>	
Date of Birth	
Citizenship/ Country of Residency <i>(please state for each individual)</i>	
Country/ Countries of Tax Residence <i>(please state for each individual)</i>	
Taxpayer Identification Number ("TIN") if applicable (or equivalent in country of Tax Residence <i>(please state for each individual's country of tax residence)</i>)	
Occupation <i>(please state for each individual)</i>	
Email Address	
Telephone Number <i>(include country code)</i>	
Fax Number	





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Details of Applicants(s)	For Institutional / Corporate Investors
Investor Name(s) in Full	
Registered Address	
Mailing Address <i>(if different from above)</i>	
Country of Incorporation / Establishment	
Country / Countries of Tax Residence	
Global Intermediary Identification Number ("GIIN")	
TIN for Other Countries of Tax Residence	
Email Address	
Telephone Number <i>(include country code)</i>	
Fax Number	
Contact Name	
Entity Type	
Main Business Activity	

If the Applicant will not be the beneficial owner(s) of Shares, please provide the above information in respect of the Applicant and each beneficial owner.

Joint Applicants Only

We hereby authorise the Company to act on the instructions of (delete as appropriate):

☐ either one of the Applicant's signature OR ☐ both of the Applicant's signatures

Failure to choose either option will result in both signatures being required.





By providing an email address above or otherwise to the Company, the Applicant (i) agrees and chooses to receive electronically all Company and portfolio related documentation and/or communications, including but not limited to the Prospectus, key investor information documents (“**KIIDs**”), financial reports and documentation required in respect of shareholder meetings, at the email address specified in this Application Form, and (ii) undertakes to keep the Company and/or Brown Brothers Harriman Fund Administration Services (Ireland) Limited (the “**Administrator**”) informed of any change to such email address. Electronic communication by the Company, Lord Abbett (Ireland) Ltd. (the “**Manager**”), Lord, Abbett & Co. LLC (the “**Investment Manager**”), Lord Abbett (UK) Ltd or Lord Abbett Distributor LLC (each a “**Distributor**”, together the “**Distributors**”) or the Administrator includes e-mail delivery as well as electronically making available on the Company’s website. The Applicant may at any time request a hard copy of any such communications from the Company and/or revoke, in writing or other authorised manner, the consent given to receive such information electronically.

2. Subscription Instructions

The Applicant understands and agrees that:

- The Minimum Initial Investment and Minimum Holding and Minimum Subsequent Investment amounts for all Share Classes must meet the minimum requirements specified in the Supplement for the relevant Sub-Fund.
- Application for Shares of each Class should be made by written application using this Application Form available from the Administrator. Applicants should subscribe for Shares in accordance with the instructions contained in this Application Form.
- In the event of delay or failure by an investor or applicant to produce any information required for verification purposes, the Administrator on behalf of the Company and the Directors may each refuse to accept the application and subscription monies and return all subscription monies or compulsorily redeem such Shareholder’s Shares and/or payment of redemption proceeds may be delayed (no redemption proceeds will be paid if the Shareholder fails to produce such information).
- The settlement deadline requirements specified in the Prospectus shall apply.
- Application Forms must be received by 4:00 pm Dublin time on the relevant Dealing Day. Applications received after that time will be deemed to have been received for the next Dealing Day.

I / We hereby apply to purchase Shares in the amount of which the sum will be paid by telegraphic transfer, and agree to be bound by the Prospectus and Constitution and its material contracts as set out in the Prospectus:

Sub-Fund Name	Share Class	Sub-Fund ISIN Code	Payment Currency
a)			
b)			
c)			
Shares / Monetary Amount*	Shares / Amount in words*		
a)			
b)			
c)			

*Delete as appropriate





Applicant Redemption Banking Information

I/We shall transfer the amount to be invested from my/our bank accounts noted below. Redemption proceeds will be paid to this account. Applicants may change their banking information upon written notice. Redemption proceeds will not be paid to an account that is not in the applicant's name.

Correspondent Bank Details (if applicable)

Name of Bank	
Bank Address (including postcode and country)	
Bank Account Currency	
ABA or SWIFT Code	
Account Number	
Account Name (in print)	

Beneficiary Bank Details

Name of Bank	
Bank Address (including postcode and country)	
Bank Account Currency	
IBAN	
ABA/SWIFT or Sort Code	
Beneficiary Account Number	
Beneficiary Account Name	
Beneficiary Reference	

For Further Credit

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No Third-Party Payments Will Be Undertaken





Subscription Payment Delivery

Please pay monies in accordance with the deadlines outlined in the Prospectus. Please remit payment to the following bank accounts. Any charges incurred in respect of telegraphic transfer will be payable by the applicant.

Currency: USD
Intermediary Bank: Citibank N.A., New York
Swift Address: CITIUS33
Account No.: 09250276
ABA: 021000089
Beneficiary Bank: Brown Brothers Harriman & Co.
Swift Code: BBHCUS33
Beneficiary Account: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert Name of Applicant)*

Currency: EUR
Bank: HSBC Continental Europe
Swift Address: CCFRFRPP
Beneficiary Bank – Swift: BBHCUS33
Beneficiary Bank - IBAN: FR7630056000100010000512237
Beneficiary Bank - Name: Brown Brothers Harriman & Co.
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert name of Applicant)*

Currency: GBP - Sterling
Bank: Barclays Bank plc
Swift Address: BARCGB22
Sort Code: 203253
Account No.: 53623157
Account Name: Brown Brothers Harriman & Co.
Beneficiary Swift: BBHCUS33
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert Name of Applicant)*

Currency: CHF - Swiss Franc
Bank: UBS Switzerland AG, Zurich
Swift Address: UBSWCHZH80A
IBAN: CH950023023007905600K
Account Name: Brown Brothers Harriman & Co.
Beneficiary Swift: BBHCUS33
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert Name of Applicant)*





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Currency: JPY – Japanese Yen
Bank: MUFG Bank, Ltd, Tokyo
Swift Address: BOTKJPJT
Account name: Brown Brothers Harriman & Co
Swift Code: BBHCUS33
Account Number: 6530415103
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert Name of Applicant)*

Currency: SGD – Singapore Dollar
Bank: HSBC Singapore
Swift Address: HSBCSGSG
Account Name: Brown Brothers Harriman & Co
Account Number: 141-140681-001
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB/RED ACCOUNT
Swift Code: BBHCUS33
Reference: *(Insert Name of Applicant)*

Currency: HKD – Hong Kong Dollar
Bank: HSBC Hong Kong
Swift Address: HSBCHKHH
Account name: Brown Brothers Harriman & Co
Swift Code: BBHCUS33
Account Number: 0 02893337001
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert name of Applicant)*

Currency: SEK – Swedish Krona
Bank: Skandinaviska Enskilda Banken AB (publ), Stockholm
Swift Address: ESSESESS
Account name: Brown Brothers Harriman & Co
Swift Code: BBHCUS33
Account Number: 52018513109
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert name of Applicant)*

Currency: AUD – Australian Dollar
Bank: HSBC Bank Australia Limited
Swift Address: HKBAAU2S
Account name: Brown Brothers Harriman & Co
Swift Code: BBHCUS33
Account Number: 0 02893337001
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT
Reference: *(Insert name of Applicant)*





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NZD – New Zealand Dollar

Bank: ANZ Bank New Zealand Limited
Swift Address: ANZBNZ22
Account name: Brown Brothers Harriman & Co
Swift Code: BBHCUS33
Account Number: 200568NZD00001
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT

Reference: *(Insert name of Applicant)*

CNY

Bank: The Hongkong and Shanghai Banking Corporation Limited, Hong Kong
Swift Address: HSBCHKHKKH
Account name: Brown Brothers Harriman & Co
Swift Code: BBHCUS33
Account Number: 002-893337-209
Beneficiary Account No.: 2037398
Beneficiary Account Name: LORD ABBETT GLOBAL FUNDS I PLC/ SUB&RED ACCOUNT

Reference: *(Insert name of Applicant)*

3. Dividend Policy

For Distributing Class, dividends will be paid to the bank account noted in Section 2 Subscription Instructions unless an alternative bank account is chosen below.

Please select one option: Reinvest ☐ Cash ☐

*Please note, in the event neither option is selected, all dividends will automatically be reinvested.

Applicant Dividend Banking Information

Dividend proceeds will not be paid to an account that is not in the applicant's name.





3. Applicant Information (continued)

Correspondent Bank Details (if applicable)

Name of Bank	
Bank Address (including postcode and country)	
Bank Account Currency	
ABA/SWIFT or Sort Code	
Account Number	
Account Name (in print)	

Beneficiary Bank Details

Name of Bank	
Bank Address (including postcode and country)	
Bank Account Currency	
IBAN	
ABA/SWIFT or Sort Code	
Beneficiary Account Number	
Beneficiary Account Name	

If the investor opts for a cash dividend but does not provide separate dividend bank details, then the proceeds will be paid to the redemption bank details held on file.





4. Prevention of Money Laundering

Under Irish legislation covering anti-money laundering and the taxation of savings, the Company and the Administrator must obtain information and documentation to verify the identity, permanent address and tax residency status of all new clients in accordance with the requirements of the Criminal Justice (Money Laundering and Terrorist Financing) Act, 2010 as amended by the Criminal Justice Act, 2013 (the “**Act**”).

Please refer to the investor type below and provide the required customer due diligence documentation. All documentation provided must be in original form or certified¹ as being a true copy of the original version.

Please note that the documentation requirements set forth hereunder do not necessarily constitute a definitive list. In accordance with the terms of the Prospectus, additional proof of identity documentation may be required from any applicant at the sole discretion of the Administrator and/or the Company.

PRIVATE / JOINT AND INDIVIDUAL INVESTORS

The following should be provided for each applicant:

1. Application form with completed declaration of non-Irish residency and bank details
2. Current passport or identification card with legible photograph and signature (for each investor)
3. Each investor to provide two independent proofs of address in the form of a certified copy of a valid driving licence, a bank/credit card statement (within last six months) or a utility bill (within last six months) - mobile phone bills excluded
4. Source of wealth
5. Tax identification number issued by your country of residence together with certified copy documentary evidence thereof
6. Tax residency certificate issued by the tax authorities in the country in which you currently claim residency. (Relevant for applicants resident in one country whose passport or identity card is issued by another Member State of the European Union.)

REGULATED FINANCIAL INSTITUTION IN AN EU/ EEA OR EQUIVALENT COUNTRY

1. Application form with completed declaration of non-Irish residency and bank details
2. Confirmation of regulation for the investor
3. Authorised signatory list

If acting as an intermediary, further documentation will be required.

¹In each case certified copies should be certified by a Police officer, practising chartered/ certified public accountant, notary public/practising solicitor, embassy/consular staff a director or manager of a regulated financial/credit institution or a member of the judiciary or any person so authorised under the laws of your country or domicile (except that the authorised signatories list may be certified by the company secretary where the investor is domiciled in an equivalent country and regulated)





INSTITUTIONAL INVESTOR ESTABLISHED AS CORPORATE ENTITY

1. Application form with completed declaration of non-Irish residency and bank details
2. Authorised signatory list
3. Formation documentation
4. Names; residential addresses and date of birth of Directors
5. Identification for Directors (photo ID and two independent proofs of address) and authorised signatories
6. Identification for beneficiaries owning/or controlling (whether directly/indirectly), more than 20% of Shares/voting rights (on a case by case basis), or otherwise exercises control over the management of the body
7. Source of wealth

OTHER ENTITY

Other entity types will require their own unique documentation which will be communicated to an applicant. Such other entity types may include, but are not necessarily limited to, the following:

- Charities
- Government entities
- Foundations
- Funds
- Pension Schemes
- Occupational Pension Schemes
- Entities listed on a stock exchange
- Entities based in non-prescribed countries
- Partnerships or Trusts
- Universities
- Nominee Structures

IMPORTANT NOTES

- The measures aimed at the prevention of money laundering will require verification of the applicant(s)' identity, address, source of funds and/ or source of wealth. As such the Administrator reserves the right to request such information as is necessary to verify the identity of an applicant and/or the source of the subscription monies.
- In the event of delay or failure by the applicant to produce any information required for verification purposes, the Administrator may refuse to accept the application and subscription monies.
- In the case of Joint Applicants, all must sign and supply names and addresses in accordance with "Applicant(s) Information" above. Please note that only the first-named holder's address will be used for registration. A corporation should sign under the hand of a duly authorised official who should state his representative capacity. If this form is signed under a power of attorney, such power or a duly certified copy thereof must accompany this form.
- While Shares may be issued on the next available Dealing Day, until such time as the Administrator has received and is satisfied with all the information and documentation requested to verify the applicant's identity, no request made by the applicant to either redeem and/or transfer the Shares will be granted. The Administrator, the Manager, the Investment Manager, the Distributors and the Company shall be held harmless and indemnified against any loss arising as a result of a failure to process the application if such information has been required by the parties referred to and has not been provided.





5. FATCA

Please note that by completing this application form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulations (697/2016/EU) (the "GDPR") and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1998 to 2018).

All applicants are required to provide information to the Company to determine whether the applicants (or underlying beneficial owners) are U.S. Reportable Accounts (as such term is defined in the Prospectus) for the purposes of FATCA. All U.S. Reportable accounts will be reported to the Irish Revenue Commissioners which, in turn, will report such information to the U.S. Internal Revenue Service.

Please confirm whether you are a U.S. Reportable Account – i.e., a Financial Account held by either (i) U.S. Taxpayer who is not an Excluded U.S. Taxpayer or (ii) a Passive U.S. Controlled Foreign Entity. Please review the following categories and place a check next to any that apply.

A. U.S. Taxpayer

Check any of the following categories that apply to you:

- ☐ A U.S. citizen or resident alien of the United States (as defined for U.S. federal income tax purposes).
- ☐ An entity which is treated as a partnership or corporation for U.S. federal tax purposes that is created or organized in, or under the laws of, the United States or any state thereof (including the District of Columbia).
- ☐ An estate which is subject to U.S. federal taxation of its income regardless of source.
- ☐ A trust over whose administration a court within the United States has primary supervision and all substantial decisions of which are under the control of one or more U.S. fiduciaries.

B. Excluded U.S. Taxpayer

Check a box below only if: (i) you have checked a box in 6.A above, and (ii) any of the following categories apply to you:

- ☐ A corporation, the stock of which is regularly traded on one or more established securities markets.
- ☐ A corporation that is a member of the same expanded affiliated group (as defined in Section 1471(e)(2) of the U.S. Internal Revenue Code of 1986, as amended) as a corporation which is described in 2(i) above.
- ☐ You are acting on behalf of the United States government or any wholly owned agency or instrumentality of the U.S. Government;
- ☐ Any state of the United States, any U.S. territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- ☐ Any organization exempt from taxation under Section 501(a) or an individual retirement plan as defined in Section 7701(a)(37) of the Code;
- ☐ Any bank as defined in Section 581 of the Code;
- ☐ Any real estate investment trust as defined in Section 856 of the Code;
- ☐ Any regulated investment company as defined in Section 851 of the Code or any entity registered with the Securities Exchange Commission under the 1940 Act;
- ☐ Any common trust fund as defined in Section 584(a) of the Code;





- ☐ Any trust that is exempt from tax under Section 664(c) of the Code;
- ☐ A dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any state thereof; or
- ☐ A broker as defined in Section 6045(c) of the Code.

C. Passive U.S. Controlled Foreign Entity

- ☐ An entity owned by one or more Controlling U.S. Persons.

For this purpose, a Controlling U.S. Person means an individual who has the ability to exercise ultimate effective control with respect to such entity and who is either a U.S. citizen or resident alien of the United States (as defined for U.S. federal income tax purposes). If you are structured as a trust, check this box if the settler, the trustee, the protector (if any), the beneficiaries or class of beneficiaries, or any other natural person exercising ultimate effective control over the trust is either a U.S. citizen or resident alien of the United States (as defined for U.S. federal income tax purposes).

D. Declaration

Important – Please check box below, as appropriate.

DECLARATION ON OWN BEHALF

- ☐ I/we declare that I am/we are applying for Shares on my/our own behalf and that I am a/we are U.S. Reportable Account/s.

NOTE: If you checked a box in 6.A or 6.C above, but did not check a box in 6.B, please check this box.

- ☐ I/we declare that I am/we are applying for Shares on my/our own behalf and that I am **NOT** a/we are **NOT** U.S. Reportable Account/s.

DECLARATION AS INTERMEDIARY

(i) Form of Holding

- ☐ I/we declare that I am/we are applying for Shares on behalf of a U.S. Reportable Account.
- ☐ I/we declare that I am/we are applying for Shares on a fully disclosed basis for my/our customers.
- ☐ I/we declare that I/we have contracted with the Company and or the Administrator to conduct diligence on my/our underlying customers and report U.S. Reportable Accounts.
- ☐ I/we declare that I am/we are applying for Shares on behalf of a U.S. Reportable Account.
- ☐ I/we declare that I am/we are NOT applying for Shares on behalf of a U.S. Reportable Account.

(ii) U.S. Reportable Account Status - Please check this box if you checked any of the boxes above

- ☐ The Company and/or the Administrator may collect additional information to satisfy the Company's FATCA obligations under applicable law and regulations.





E. FATCA Classification for Intermediaries

A “**Financial Institution**” is defined in FATCA as follows:

Except as otherwise provided by the Secretary, the term ‘financial institution’ means any entity that:

- (A) accepts deposits in the ordinary course of a banking or similar business;
- (B) as a substantial portion of its business, holds financial assets for the account of others; or
- (C) is engaged (or holding itself out as being engaged) primarily in the business of investing, reinvesting, or trading in securities (as defined in section 475(c)(2) without regard to the last sentence thereof), partnership interests, commodities (as defined in section 475(e)(2)), or any interest (including a futures or forward contract or option) in such securities, partnership interests, or commodities.

If your institution is a **Financial Institution**, please check one of the below FI categories and complete (a) or (b) below, as appropriate:

If your institution is a **Financial Institution**, please check one of the below FI categories and complete (a) or (b) below, as appropriate:

- ☐ Financial Institution (FI)
- ☐ IGA Partner Jurisdiction FI
- ☐ Registered Deemed Compliant FI
- ☐ Participating Jurisdiction FI

A. Please provide your organization’s Global Intermediary Identification Number (GIIN):

B. If unable to provide a GIIN, please check the reason your institution does not have a GIIN:

- ☐ It is a FI in a Model 1 IGA country and has not yet obtained a GIIN
- ☐ It is sponsored by another entity which does have a GIIN (please complete below)

Please provide your sponsor’s name and sponsor’s GIIN:

Sponsor’s Name:

Sponsor’s GIIN:

- ☐ It is an Exempt Beneficial Owner
- ☐ It is a Certified Deemed Compliant Financial Institution
- ☐ It is a Registered Non-Profit Organisation
- ☐ It is an Owner-Documented FFI
- ☐ It is a Territory Financial Institution
- ☐ It is a Non-Participating Foreign Financial Institution
- ☐ It is an Excepted FI

If the institution is not a **Financial Institution**, please confirm your organisation’s status below:

- ☐ Active NFFE (as such term is defined in FATCA)
- ☐ Passive NFFE (If you are a passive NFFE, as required under the regulations please provide details of Controlling Persons in Section 8.)





The term “Controlling Persons” means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term “Controlling Persons” shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

F. Data Protection Notice

This privacy notice for the Company sets out the categories of personal data the Company collects from you, how it collects it, what it uses it for and with whom it shares it in accordance with the GDPR.

“Personal data” means any information relating to you such as your name, contact details, taxpayer identification number, account number, date/place of birth, gender, nationality, language, marital status, legal capacity, professional group key/type of partnership (employed/self-employed), identification data (e.g. ID card data), authentication data (e.g. specimen signature), FATCA and CRS status, current occupation or previous occupation if relevant and financial status.

Where your details are provided to the Company as a consequence of your investment in the Company, then the Company, acting as a data controller may itself (or through its Administrator) process your personal information or that of your directors, officers, employees and/or beneficial owners. The Administrator will also act as a data controller of your personal information in connection with the performance of its legal obligations as Administrator of the Company.

Please ensure that you provide a copy of this Data Protection Notice to any third parties whose personal data you provide to the Company. The Company may update this Data Protection Notice at any time, and it will make an updated copy of such privacy notice available on its website and notify you when it makes any substantial updates.

Your Right to Object - Please note that you have a right to object to processing of your personal data where that processing is carried out for our legitimate interest.

Purposes of Processing and Legal basis for processing

Your personal data may be processed by the Company, the Manager, the Investment Manager, or the Administrator on the Company's behalf, for the following purposes:

<ul style="list-style-type: none">• to perform its contract with you, in particular to facilitate the opening of your account, managing and administering your holdings in the Company and any related account on an on-going basis (the Services);• to update and maintain records and fee billing for the purposes of performance of our contract with you;• to enforce or defend the Company's rights, itself or through third parties to whom it delegates such responsibilities.	<ul style="list-style-type: none">• This use of your data is necessary for performance of your contract with the Company.
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<ul style="list-style-type: none">• in order to carry out anti-money laundering ("AML") checks and related actions which the Company considers appropriate to meet any legal obligations imposed on the Company relating to, the prevention of fraud, money laundering, terrorist financing, bribery, corruption, tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions, on an on-going basis, in accordance with the Company and the Administrator's AML procedures;• to retain AML and other records of individuals to assist with the subsequent screening of them by the Administrator for the purposes of the Administrator meeting its own legal obligations;• to report tax related information to tax authorities;• to monitor and record calls and electronic communications for investigation and fraud prevention purposes, crime detection, prevention and investigation.	<ul style="list-style-type: none">• This use of your data is necessary in order for the Company to comply with any legal or regulatory obligations.
<ul style="list-style-type: none">• to monitor and record calls and electronic communications to enforce or defend the Company and its affiliates' rights;• to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the Company to improve its service delivery;• to carry out statistical analysis and market research to pursue the legitimate interests of the Company to improve its service delivery;• in order to identify clients of distributors for rebate purposes.	<ul style="list-style-type: none">• This use of your data is necessary for the Company's legitimate business interest in managing its business including legal, personnel, administrative and management purposes and for the prevention and detection of crime. The Company has carried out a balancing test to ensure that these interests are not overridden by your interests and rights, and you have a right to request information on that balancing test.

As part of the Company's business and ongoing monitoring, the Company may from time to time carry out automated decision-making in relation to you, including profiling of investors, and this may result in an investor being identified to the Irish Revenue Commissioners and law enforcement authorities, and the Company terminating its relationship with you.

Recipients of Data

The Company may disclose your personal information for processing in accordance with the purposes discussed above to its service providers, including the Administrator and its affiliates, and other service providers engaged by the Company in connection with the oversight, safekeeping, distribution or operation of the Company. In addition, the Company may disclose your personal information to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

International Transfer of Data

The Company will not transfer your personal data to a country outside of the European Economic Area ("EEA") unless that country ensures an adequate level of data protection or appropriate safeguards are in place. At present the





Company transfers your personal data to certain service providers in the United States. In accordance with Article 46 of the GDPR, the Company is entering into standard contractual clauses approved by the European Commission with those service providers to ensure that any of your personal data transferred outside the EEA is adequately protected. A copy of these standard contractual clauses can be obtained by contacting the Company or the Administrator using the contact details below.

In addition, the Manager has authorised the Administrator, acting as agent for the Manager, to enter into standard contractual clauses to enable it to transfer data outside the EEA where necessary.

Retention period

The Company and the Administrator will retain your personal information for a minimum period of 7 years from the date on which you redeem all of your Shares in a Sub-Fund. In some circumstances it will be necessary to retain your data for longer than this in order for the Company or the Administrator to perform the Services or because of statutory obligations to retain information, including anti-money laundering, counter-terrorism or tax legislation. The Company, will take all reasonable steps to destroy or erase the data from its systems when it is no longer required.

Data Subject Rights

You have the following rights, in certain circumstances, in relation to your personal information:

- Right to access your personal information.
- Right to rectify your personal information.
- Right to restrict the use of your personal information.
- Right to request that your personal information is erased.
- Right to object to processing of your personal information.
- Right to data portability (in certain specific circumstances).

Where the Company or the Administrator requires your personal information to comply with AML or other legal requirements, failure to provide this information or subsequent objection to processing means the Company may not be able to accept you or maintain you as an investor in the Company and that the Company may require you to redeem your Shares. The Company will tell you when it asks for your information whether it is a statutory or contractual requirement to give the information and the consequences of not providing the information.

You have the right to lodge a complaint with the Office of the Irish Data Protection Commissioner if you are unhappy with how your personal data is being handled.

How to contact the Company and the Administrator

If you have any questions about the Company's use or the Administrator's use of your personal information, please contact the Company at Lord Abnett Global Funds I plc c/o Brown Brothers Harriman Fund Administration Services (Ireland) Limited, 30 Herbert Street, Dublin D02 W329 Ireland or by e-mail at BBHglobalTA@bbh.com.





6. Common Reporting Standard

Please note that by completing this application form you are providing personal information which may constitute personal data within the meaning of the GDPR and applicable Irish data protection legislation (currently the Irish Data Protection Acts 1998 to 2018).

This section is to be completed by Corporate Applicants and other Entity Applicants (including all trusts, companies, partnerships & financial institutions). **Individual Applicants should not complete this section.**

Pursuant to the automatic exchange of tax information (the OECD Common Reporting Standard (the “CRS”) for Automatic Exchange of Financial Account Information) the Company is obliged to collect certain additional information about each Applicant. Under the CRS, participating jurisdictions obtain financial information from their financial institutions and automatically exchange that information with other participating jurisdictions on an annual basis.

Entity Classification

Please tick (✓) the Entity’s classification for the purposes of CRS below:

- ☐ The Entity is a Financial Institution as such term is defined for CRS purposes
- ☐ The Entity is an Active Non-Financial Entity (Active NFE) as such term is defined for CRS purposes
- ☐ The Entity is a Passive Non-Financial Entity (Passive NFE) as such term is defined for CRS purposes

If the Entity has classified itself as a Passive NFE please provide details of any “Controlling Persons” in section 8. Please note that for CRS purposes, a Financial Institution which is an Investment Entity in a non-participating jurisdiction managed by another Financial Institution is to be treated as a “Passive NFE”.

7. Controlling Persons

Controlling Persons Certification

To be completed if:

- (i) **the Applicant is a not a United States person and is an entity that has identified itself as a “Passive NFFE” for FATCA purposes; and/or**
- (ii) **the Applicant has identified itself in section 7 as a “Passive NFE” for CRS purposes.**

To enable the Company to satisfy its due diligence requirements as (i) a “registered deemed- compliant foreign financial institution” pursuant to an intergovernmental agreement between the United States Ireland to improve international tax compliance and to implement the U.S. Foreign Account Tax Compliance Act (the “IGA”), and (ii) an Investment Entity in a participating jurisdiction for the purposes of the OECD’s Common Reporting Standards (“CRS”), if the Applicant is either (a) a “Passive NFFE,” as indicated for FATCA purposes, or (b) a “Passive NFE”, as indicated for CRS purposes, it must provide the additional certifications requested below in respect of its Controlling Persons.





Full Name	Date of Birth	Place of Birth	Full Residential Address	Country / Countries of Tax Residence	Tax ID Numbers (or equivalent)

The term “Controlling Persons” means the natural persons who exercise control over an entity. In the case of a trust, such term means the settlor, the trustees, the protector (if any), the beneficiaries or class of beneficiaries, and any other natural person exercising ultimate effective control over the trust, and in the case of a legal arrangement other than a trust, such term means persons in equivalent or similar positions. The term “Controlling Persons” shall be interpreted in a manner consistent with the Financial Action Task Force Recommendations.

Data Protection - Customer Information Notice

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEOI), developed in the context of the Organisation for Economic Co-operation and Development (OECD).

The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders (and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders).

Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder’s resident country, if that is a CRS-participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s).

Further information is available on the OECD website: <http://oecd.org/tax/automatic-exchange/> And on the Irish Revenue website - <http://www.revenue.ie/en/business/aeoi/>)





8. Investor Declarations

- 1) I/We declare that each individual named above is over the age of 18, and that I/We agree to accept the number of Participating Shares allotted in respect of this application.
- 2) I authorise the Company to provide, directly or indirectly, to any relevant tax authorities or any party authorised to audit or conduct a similar control of the Company for tax purposes, a copy of this form and to disclose to such tax authorities or such party any additional information that the Company may have in its possession that is relevant to the Entity's qualification for any benefits claimed on the basis of this self-certification form. I acknowledge and agree that information contained in this form and information regarding income paid or credited to or for the benefit of the account(s) set out above may be reported to the tax authorities of the country in which such income arises and that those tax authorities may provide the information to the country or countries in which the Entity is resident for tax purposes.
- 3) I/we represent and warrant that I/We have the legal capacity, power and authority to execute and deliver this Application Form and to subscribe for or redeem Shares in the Company and that by virtue of my/our subscribing for, holding or redeeming Shares in the Company I/we are/will not be in breach of any laws or regulations of any competent jurisdiction and the subscription monies are not the proceeds of criminal misconduct and the funds presented to complete this transaction are from legitimate sources in connection with my/our regular and permitted business activities and I/we hereby indemnify the Company, the Administrator, the Manager, the Depositary and other Shareholders for any loss suffered by them as a result of this warranty/representation not being true in every respect.
- 4) I/We agree that the issue and allotment to me/us of the Shares is subject to the provisions of the Prospectus, that subscription for Shares will be governed and construed in accordance with Irish law and I/we confirm that by subscribing for Shares, I/we are not relying on any information or representation other than such as may be contained in the Prospectus and the most recent annual or semi-annual report (if available) thereto. Moreover, I/we have received no representation, warranties or written communications with respect to the offering of Shares other than those contained in the Prospectus.
- 5) I/We have made arrangements for payment to be made to the relevant bank account(s) specified above for subscriptions and acknowledge that this application form should not be binding on the Company unless accepted by the Company and the Company or the Administrator as relevant reserves the right to reject any application in whole or in part at its entire discretion without assigning any reason therefor.
- 6) I/we agree to indemnify and keep indemnified the Company, the Manager, the Investment Manager, Administrator and Depositary (together the "Service Providers") against any claim, loss, liability, cost or expense (including without limitation legal fees, taxes and penalties) which may arise directly or indirectly out of any failure to comply with the terms of this Application Form (together "Loss" or "Losses") which shall include but is not limited to:-
 - (i) any Loss arising directly or indirectly out of late and/or failed settlement arrangements by me
 - (ii) any Loss arising directly or indirectly out of failure to process the application where such information which is required by the Company or its Service Providers has not been supplied by me or any information which has been supplied is inaccurate, a misrepresentation or a breach of any warranty condition, covenant or agreement set forth in this Application Form or other document provided by me to the Company or its Service Providers.
 - (iii) any Loss arising directly or indirectly from the Company or its Service Providers acting upon instructions from me and for any late, incomplete, inaccurate or unclear instructions;
 - (iv) any Loss arising directly or indirectly from the issue of facsimile, automatically generated instructions (e.g. SWIFT, FTP etc.) including but not limited to any failure or error in transmission.





- (v) any Loss which may be incurred by or made against the Company or any of its Service Providers as a result of any investment action taken from time to time in reliance on or in anticipation of, and prior to, the monies being invested in the Company pursuant to this application being received, due to any failure or delay in settlement of such monies.
 - (vi) any Loss suffered by the Service Providers as a result of me/us acquiring or holding Shares in the Company where, by virtue of my/our holding, I am/we are in breach of the laws of any competent jurisdiction.
 - (vii) any Loss of any nature whatsoever arising to any of them as a result of any breach of any of the representations, warranties or declarations given by me/us in this Application Form or in any other document delivered to the Company or the Administrator.
- 7) I/We declare that this application is not made by or for any US Persons who is not a Qualified US Person (as such expressions are defined in the Prospectus).
- 8) I/We agree (i) to provide these representations or declarations to the Company and/ or the Administrator at such times as the Company and/ or the Administrator may request, and (ii) to provide on request such certifications, documents or other evidence as the Company and/ or the Administrator may reasonably require to substantiate such representations.
- 9) I/We represent and warrant that this Application Form has been duly executed and delivered on my/our behalf and constitutes our legal, valid and binding agreement enforceable against me/us in accordance with its terms, except as the enforcement thereof may be limited by bankruptcy, insolvency (including, without limitation, all laws relating to fraudulent transfers), reorganisation, moratorium or similar laws affecting enforcement of creditors' rights generally and except as enforcement thereof is subject to general principles of equity (regardless of whether enforcement is considered in proceeding in equity or at law).
- 10) I/We, agree to notify the Company and the Manager and/ or the Administrator immediately if I/we become aware that any of the representations or declarations is/are no longer accurate and complete in all respects and agree immediately to take such action as the Company, the Distributors or the Administrator may direct including where appropriate, the redemption of its holding in its entirety.
- 11) I/We hereby acknowledge that I/we have received and read the KIID(s) for the Sub-Fund(s) and Class(es) to which my/our subscription relates, and that I/we understand(s) and accept(s) the objectives and risks outlined therein. I/We hereby confirm(s) that for any subsequent investments it will obtain and read the latest version of the appropriate KIID. I/We hereby acknowledge and agree that the updated KIID for each Share Class is available at the Investment Manager's website at www.lordabbett.com/LordAbbettGlobalFunds
- 12) I/We, having received and considered a copy of the Prospectus and any relevant Supplements thereto, hereby confirm that this application is based solely on the Prospectus together (where applicable) with the most recent annual report and accounts of the Company and (if issued after such report and accounts) its most recent unaudited semi-annual report and the Constitution of the Company.
- 13) (a) The Company, the Manager, the Investment Manager, the Distributors, the Administrator are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this Application Form relates or which may in future be acquired by me/us which are given by me/us in written form, or by facsimile. If instructions are given by me/us to ensure that such instructions are received in legible form, and I/we undertake to confirm them in writing.
- (b) I/We agree to indemnify each of the Company, the Manager, the Investment Manager, the Distributors the Administrator and agree to keep each of them indemnified against any loss of any nature whatsoever arising to any of them as a result of any of them acting upon written form or facsimile instructions to the extent that such party





acted properly upon receipt of such instructions. In circumstances where such instructions relate to a change in the Applicant Banking Information referred to above, I/we confirm that I/we will provide you with an original instruction.

(c) The Company, the Manager, the Investment Manager, the Distributors and the Administrator may rely conclusively upon and shall incur no liability in respect of any action taken upon any notice, consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons. If instructions are given by me/ us or on my/our behalf by Authorised Persons I/we hereby agree to indemnify each of the Company, the Manager, the Investment Manager, the Distributors and the Administrator and to keep each of the Company, the Manager, the Investment Manager, the Distributors and the Administrator indemnified against any loss or any nature whatsoever arising to any of them as a result of any of them acting upon instructions.

- 14) I/We acknowledge that due to anti-money laundering requirements operating within their respective jurisdictions, the Company, and/or the Administrator may require further information, including further identification of the applicant(s) before the application can be processed. I/We further acknowledge that any delay in providing such documentation may result in delayed processing of any application for Shares and/or delayed payment of any further redemption payments or processing of share transfer requests. The Company and/ or the Administrator shall be held harmless and indemnified against any loss arising as a result of compliance with anti-money laundering requirements including for failure to process this application if such information as has been required by the parties hereto has not been provided by me/us.
- 15) I/We agree that the information provided in this form, as well as financial information about the financial accounts to which this form is applied (such as the account balance or value, gross income and proceeds received) may be reported to the tax authorities of the country or countries in which the financial accounts are maintained and subsequently exchanged with tax authorities of another country or countries in which I/we am or are resident pursuant to FATCA and the OECD Common Reporting Standard.
- 16) I/We acknowledge the Company, the Manager, the Investment Manager, the Distributors and the Administrator may refuse to accept a subscription, process a transfer request and/ or pay a redemption request until Administrator has received an original of this Application Form together with any original supporting anti-money laundering and counter terrorism financing or related documentation as they may in their absolute discretion require. I/we acknowledge that the Company or the Administrator on its behalf also reserves the right to refuse to make any redemption payment or distribution to a Shareholder where the Company or the Administrator is not satisfied with the information and documentation that has been provided. This power may, without limitation to the generality of the foregoing, be exercised where proper information has not been provided for money laundering verification purposes as described above. Applicants should note in particular that redemption proceeds will not be paid by the Administrator to an account which is not in the name of the applicant.
- 17) I/We hereby undertake to observe and be bound by the provisions of the Constitution of the Company (as amended from time to time) and the material contracts referred to in the Prospectus and apply to be entered in the Register of Shareholders as the holder/holders of the Shares issued in relation to this application and I/we request that the Shares issued pursuant to this application are registered in the name and address set out in section 1 of this application form.
- 18) I/We understand that the confirmations, representations, declarations, indemnities and warranties made or given herein are continuous and apply to all subsequent subscriptions for Shares by me/us in the Company whether this investment is made in my/ our own name or is made on behalf of or for the benefit of another person or Corporation unless I/we notify you to the contrary at the time of any such subscription.
- 19) I/We understand that the tax disclosure set forth in the Prospectus is of a general nature and may not cover the jurisdiction in which I am /we are subject to taxation and that the tax consequences of my/our purchase of Shares depend on my/our individual circumstances.





- 20) I/We acknowledge the right of the Company at any time to require the mandatory redemption of Shares in the circumstances provided for in the Prospectus.
- 21) I/We acknowledge that all telephone calls with the Company, the Manager, the Investment Manager, the Distributors, the Administrator may be recorded and consent to such recording and any such recordings may be submitted in evidence in any proceedings relating to this investment.
- 22) I/We acknowledge that Dechert does not represent me/us with respect to my/our investment in the Company (including my/our decision to invest in the Company) or the on-going operations of the Company, and that I/we have been advised to consult my/our own counsel. I/We hereby acknowledge and agree that in the event that any dispute or controversy arises between me/us and the Company, or between me/us and the Investment Manager, the Manager and/or any of their affiliates that Dechert represents, then I/we agree that Dechert may represent the Company, the Manager or the Investment Manager and/or their respective affiliates in any such dispute or controversy to the fullest extent permitted by applicable law, regulation or professional rules in the relevant jurisdictions and I/we hereby consent to such representation.
- 23) I am/we are able to bear the economic risk of an investment in the Shares, including, without limitation, the risk of loss of all or a part of my/our investment. I/we do not have an overall commitment to investments which are not readily marketable that is disproportionate to my/our net worth, and my/our investment in the Shares will not cause such overall commitment to be excessive.
- 24) I/We direct that on the death of one of us the Shares for which we hereby apply will be held in the name of and to the order of the survivor(s) of us or the executors and administrators of such survivor. (Applicable to joint shareholdings only.)

Where there are joint applicants, each must sign. Applications from a corporation must be signed by an authorised officer or be completed otherwise in accordance with its constitution (evidence of such authority may be required). An authorised signatory List (original or certified copy) must be submitted with this Application Form unless I/ we am/are an Individual or Joint Investor. The authorised signatory List must be printed on headed paper and contain the names and specimen signatures of all persons authorised to trade on the account. If the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.

- 25) **Data Protection Disclosure.** I/We consent to, the Company, the Manager or the Investment Manager sending information about other investment services to me/us by letter, telephone or other reasonable means of communication. I/We understand that I/we have a right not to receive such information.
- 26) I/we understand that the Company may be susceptible to operational and information security risks through breaches in cyber security. Cyber security breaches include, without limitation, infection by computer viruses and gaining unauthorized access to the Company's systems, or those its service providers, through "hacking" or other means for the purpose of misappropriating assets or sensitive information, corrupting data, or causing operations to be disrupted. Cyber security breaches may also occur in a manner that does not require gaining unauthorized access, such as denial-of-service attacks or situations where authorized individuals intentionally or unintentionally release confidential information stored on the Company's systems. A cyber security breach may cause disruptions and impact the business operations of the Company, which could potentially result in financial losses, inability to determine the NAV, violation of applicable law, regulatory penalties and/or fines, compliance and other costs. I/we understand that indirect cyber security breaches at third-party service providers may also subject me to the same risks associated with direct cyber security breaches. Further, indirect cyber security breaches at an issuer of securities in which the Company invests may similarly negatively impact on the Company.





I/we hereby further acknowledge, confirm, warrant, declare and represent that:

the funds being made available for subscription are not from a criminal origin, of whatsoever nature, and in particular do not constitute the proceeds of money laundering or terrorism.

I/we have complied with all anti-money laundering obligations imposed on me/us pursuant to applicable anti-money laundering legislation;

I/we do not know of or suspect and have no reason to suspect that any of the sub subscription monies forwarded by me/us for Shares is, or directly or indirectly represents, the proceeds of any criminal activity and subscription pursuant to this Application Form is not designed to conceal such proceeds so as to avoid prosecution for an offence or otherwise nor is intended to be applied in such a way as may constitute an offence of financing terrorism under the anti-money laundering requirements.

the Company, the Manager, Administrator, Investment Manager and Distributors and their respective directors, officers and employees shall be held harmless and indemnified against any penalty or loss arising as a result of the foregoing representations and undertaking being shown to be untrue or incorrect in any way.

I/we hereby confirm that should this situation change I/we will notify the Administrator immediately.

- 27) I/We understand that any changes to the information provided by me/us in this Application Form must be made in writing to the Administrator and must contain the appropriate number of signatures and all such signatures must be signature guaranteed.

9. Declaration of Residency Outside Ireland

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares. Terms used in this declaration are defined in the Prospectus.

If the applicant is an "Exempt Irish Investor" they should contact the Administrator who will provide the appropriate declaration that must be made to confirm their status.

I/We agree to complete the declaration of residency outside Ireland (the "Declaration"), and acknowledge that if the Declaration is not completed or is incomplete, the Investment Manager and/ or the Administrator will be entitled to deduct appropriate tax from any payments or transfers of Shares to me/us or redeem and cancel such number of Shares as are required to meet the appropriate tax of the relevant Shareholder and will pay the appropriate tax in respect of such Shares to the Irish tax authorities, as described in the Prospectus.

Check box (A) or (B) below as appropriate

☐

(A) Declaration on own behalf

I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* and that I am/we are/the company is and [will, upon the issue of Shares to me/us, be] entitled to the Shares in respect of which this declaration is made and that:

- I am/we are/the company is* not currently resident or ordinarily resident in Ireland, and
- Should I/we/the company* become resident in Ireland I/we* will so inform you in writing, accordingly.

***Check box as appropriate**

☐**(B) Declaration as Intermediary**

I/we* declare that I am/we are* applying for Shares on behalf of persons:-

who will be beneficially entitled to the Shares; and

who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we* also declare that:-

unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of such persons; and

I/we* will inform you in writing if I/we* become aware that any person on whose behalf I/we* hold Shares becomes resident in Ireland.

*** Check box as appropriate**

Name and address of applicant	
Signature of applicant or authorized signatory (all applicants must sign)	(Declarant)
Capacity of authorized signatory (if applicable)	
Date	

Joint Applicants

Names	Signatures

Important Notes

- Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
- To be valid, the Application Form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the Applicant. Where there is more than one Applicant, each person must sign. If the Applicant is a company, it must be signed by the company secretary or another authorised officer.
- If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature.





10. Signatures

I/We agree to be bound by the representations and statements set out in this Application Form.

Signature of applicant or authorized signatory	
Name	
Date	
Second signature of applicant or authorized signatory (if applicable)	
Name	
Date	

